

COMMISSIONER OF FINANCIAL INSTITUTIONS INVESTMENT ADVISORS REGISTERED AND LOCATED IN PUERTO RICO

NAME	LIC. NUM.	CONTACT	ADDRESS	NEXT RENEWAL DATE	CRD. #	TELEPHONE AND FAX
4/26 Retirement Income Planning & Wealth Management, LLC	1433	Ramon Rivera-Ramos	7 Calle Tabonuco Suite 300 Guaynabo PR 00968	01/01/22	312258	787-919-7788
Accelerated Wealth Advisors LLC	1250N	Melissa Starr	13570 Meadowgrass Dr. Ste. 100 Colorado Springs CO 80921	01/01/22	170022	719-466-5631
Adrian Day Asset Management	1308N	Michael Quain	109 Calle De La Cruz Apt 2E San Juan PR 00901	01/01/22	105985	410-224-2037
AIF Capital Management, LLC	1123	James Alan Hofmocker	Metro Office Park, Valencia Building 17 Street 2, Suite 640 Guaynabo PR 00968	01/01/22	283447	312-725-4338
Applied Finance Capital Management, LLC	1075N	David D. Jones	1511 Avenue Ponce De Leon Suite 10134 San Juan PR 00909	01/01/22	226755	559-436-4270
Arco Global Management, LLC	1301N	Francesco Piovanetti	48 Road 165, Suite 4025 City View Plaza 2 Guaynabo PR 00968-8086	01/01/22	287921	787-993-9650
Arctis Global LLC	1432N	Timothy Davenport	207 Calle Del Parque 7th Floor San Juan PR 00912-3242	01/01/22	308220	4402039951875
Arkadios Wealth Advisors	1255N	Chester C. Payne, Javier F Reyes Colón	3333 Piedmont RD., NE Suite 2010 Atlanta GA 30305	01/01/22	288863	678-905-3300
Atla Capital Management LLC	1419		359 Calle Fuerte Apt 5 San Juan PR 00912	01/01/22	312501	332-203-2580
AtlanticMidwest Investment Research LLC	1322	Douglas Reed	Metro Office Park 7 Street 1, Suite 204 Guaynabo PR 00968	01/01/22	305618	913-871-6670
Atlantis Investment Coaching, LLC	0764	Omar Pereira	644 Ave Fernandez Juncos Ste 301 San Juan PR 00907-3183	01/01/22	145416	787-919-7314
Atlas Asset Management LLC	1031N	Paul Hopgood	Buchanan Office Center Suite 201 Rd. 165 No. 40 Guaynabo PR 00968-8022	01/01/22	173561	787-502-2918
ATON Financial LLC	1232N	Marko Rendic	1590 Ponce de León Suite 206 San Juan PR 00926	01/01/22	173070	787-459-1780

COMMISSIONER OF FINANCIAL INSTITUTIONS INVESTMENT ADVISORS REGISTERED AND LOCATED IN PUERTO RICO

NAME	LIC. NUM.	CONTACT	ADDRESS	NEXT RENEWAL DATE	CRD. #	TELEPHONE AND FAX
Blue Ocean Strategic Advisors, LLC	0945	Emilio Vilella	Ochoa Building 500 De la Tanca Street, Suite 205 San Juan PR 00901	01/01/22	165146	787-724-1379
Broxton Capital Advisors LLC	1089	Robert A. Cooke	151 Calle De San Francisco Old San Juan PR 00901-1659	01/01/22	136914	310-208-2191
Capitalia Advisors, LLC	1043	Roberto Olson	Ave. Palma Real #2 Suite 103 Guaynabo PR 00970	01/01/22	173030	787-705-8694 787-705-8188
Celeres Capital Advisors, LLC	1302N	Paul Carey	1225 Avenida Juan Ponce De León VIG Tower Penthouse San Juan PR 00907	01/01/22	304581	646-481-0469
Charles Schwab & Co., Inc.	0059N	Barbara Armeli	211 Main Street SF211MN-05-305 San Francisco CA 94105	01/01/22	5393	787-281-2295 415-667-7000
Citigroup Global Markets Inc.	0958N	Dana L. Platt, John C. Gavin	388 Greenwich Street New York NY 10013-2396	01/01/22	7059	212-816-6000 787-622-6350
Concordia Puerto Rico, LLC	1446	Andrew Silver	1607 Avenida Ponce De Leon Cobians Plaza, Suite GN6 San Juan PR 00909	01/01/22	313627	614-881-1500
Consultiva Wealth Management, Corp.	0360N	Diana Torres Rivera, Edmundo J. Garza	American International Plaza 250 Ave. Muñoz Rivera, Suite 415 San Juan PR 00918	01/01/22	111846	787-763-5868
Crespo Capital LLC	1172NS	Alberto Luis Crespo	1420 NE Miami PL Apt. 1909 Miami FL 33132	01/01/22	285294	787-528-1988
Crystal Oak Capital LLC	1367	Kane Kalas	151 Calle De San Francisco Suite 200 PMB 5491 San Juan PR 00901	01/01/22	310273	610-772-4410
Cybele Capital Management, LLC	1368	Luis Antonio Gonzalez Rivera	880 Tito Castro Ave Suite 104 Ponce PR 00716-4732	01/01/22	310759	939-545-8522
Direct Source Wealth Advisory	1272	Kira Golden	Villa De Campomar Carr. 301, KM 6.1 Suite 505 Cabo Rojo PR 00623	01/01/22	300201	800-699-8842
Ellevity Capital, LLC	1198	Dorian Punj	200 Dorado Beach Drive #3611 Dorado PR 00646	01/01/22	282390	800-318-4572

COMMISSIONER OF FINANCIAL INSTITUTIONS INVESTMENT ADVISORS REGISTERED AND LOCATED IN PUERTO RICO

NAME	LIC. NUM.	CONTACT	ADDRESS	NEXT RENEWAL DATE	CRD. #	TELEPHONE AND FAX
Enhanced PK Services, LLC	1370N	Paul Kasper	221 Ponce De Leon Avenue 5th Floor San Juan PR 00917	01/01/22	311371	646-829-3629
Equalize Capital LLC	1273N	Kenneth R. Smith	PMB 5333 Calle San Francisco Suite 200 San Juan PR 00901-1607	01/01/22	300640	443-802-6135
Equitable Advisors, LLC	0417N	Maryjean Bonadonna	1290 Avenue of the Americas New York NY 10104	01/01/22	6627	787-759-3333 212-314-4600
Erben Associates, LLC	1124N	Brittany K. Worrell	300 Beardsley Lane, Bldg. D Suite 200 Austin TX 78746	01/01/22	148703	512-402-0250
ESI Advisors	1119	Julio Javier Cintrón	Urb. Terra Senorial Menorca St. 116 Ponce PR 00731	01/01/22	283973	787-647-9843
Euro Pacific Asset Management, LLC	0996N	Michael Quain	Lots 81-82, Street C Suite 204 Dorado PR 00646	01/01/22	152922	787-945-2112
Finanxial Investment Advisors, Corp.	0345	Fernando A. Navarro	121 Avenida De Diego Urb. San Francisco San Juan PR 00927	01/01/22	300350	787-758-8234
Forensic Decisions PR LLC	1417	Harry M. Markopolos	3 Carrion Court Apartment 302 San Juan PR 00911-1255	01/01/22	313335	617-833-8927
FORTALEZA CAPITAL PARTNERS, LLC	1105	Jose J. Rodríguez Suarez, Vonjamis M.	250 AVE. PONCE DE LEON CITY TOWERS, SUITE 1003 SAN JUAN PR 00918	01/01/22	234792	787-749-4770 787-646-7360
FRA Services Inc.	1277	Esther Ortiz	1000 Brickwell Avenue Suite 335 Miami FL 33131	01/01/22	292588	786-536-6118
Freedom Advisory, LLC	0800N	Eduardo J. Ramos	Centro Internacional de Mercadeo Tower I Suite 205 Guaynabo PR 00968	01/01/22	147546	787-792-3000
FSAM LLC	1182	Vasileios Sfyris	1720 Windward Concourse Suite 115 Alpharetta GA 30005	01/01/22	287851	770-777-9373
Gallatin Loan Management	1336N	Wesley Bo Williams	207 Calle Del Parque AM Tower, 8th Floor San Juan PR 00912	01/01/22	150886	203-653-9110 --
Gamma Wealth Management LLC	1299	Ismael Torres Pizarro	Edificio Ochoa 500 Calle De La Tanca, Suite 305 San Juan PR 00901-1969	01/01/22	300050	787-945-9101

COMMISSIONER OF FINANCIAL INSTITUTIONS INVESTMENT ADVISORS REGISTERED AND LOCATED IN PUERTO RICO

NAME	LIC. NUM.	CONTACT	ADDRESS	NEXT RENEWAL DATE	CRD. #	TELEPHONE AND FAX
Glovista Investments Puerto Rico, LLC	1175	Thomas Morgan	Edificio Ochoa, Suite 401 500 Calle De La Tanca San Juan PR 00901-1969	01/01/22	287813	212-3361540, Ext. 109
H. Caro & Co.	1395	Herandi Caro	Road Number 2 KM 123 Aguadilla PR 00603	01/01/22	310832	939-366-6069
Herbert J. Sims Capital Management, Inc.	1035	Valerie Hebert	2150 Post Road Suite 301 Fairfield CT 06824	01/01/22	134510	203-418-9000
Independent Financial Group, LLC	1022N	Mona Myers	12671 High Bluff Drive Suite 200 San Diego CA 92130	01/01/22	7717	858-436-3180
Integral Financial Counselors LLC	1326	Al Risek	1713 Geranio Urb. San Francisco San Juan PR 00927	01/01/22	305569	787-475-6700
Jackson Investment Management, LLC	0998	George P. Jackson	5 Avenue Muñoz Rivera Apt. 301, Laguna Plaza San Juan PR 00901	01/01/22	169736	787-709-4864
Jirau Capital Management, LLC	1315	Emmanuel Loubriel	Cond El Centro I, Suite 217 Ave Munoz Rivera San Juan PR 00918	01/01/22	304978	787-452-3736
Lail Capital	1437	Hamada Smaili	Urb. Golden Gate Calle Rubí 128 Guaynabo PR 00968	01/01/22	312853	787-365-9107
Lincoln Financial Securities Corporation	0047N	Patrick J. Caulfield	1300 South Clinton Street Fort Wayne IN 46802	01/01/22	3870	800-843-2007
Linse Capital LLC	1291N	Michael Linse	53 Palmeras Street Suite 601 San Juan PR 00901	01/01/22	289222	650-787-7200
Lumiere Bay	1355	Desiree Mieses	4735 Isla Verde Ave Ste OCM3A Carolina PR 00979	01/01/22	308212	787-360-3325
Mainstreet Advisors, LLC	1366	Alejandro J. San Antonio	Calle De Diego #488 2nd Floor San Juan PR 00924	01/01/22	310795	787-923-7790
Melody Capital Management LLC	1128N	Jonathan Cole	El Caribe Office Building 53 Palmeras St., Suite 501 San Juan PR 00901	01/01/22	167953	212-583-8660
Merchant Banking Advisors, Inc.	0358	John Richardson	253 San Sebastian St. Mezzanine Level, Old San Juan San Juan PR 00901-1205	01/01/22	299733	787-630-4341
MML Investors Services, LLC	0269N	Christine Frederick	1295 State Street Springfield MA 01111-0001	01/01/22	10409	787-758-2244 413-744-7885
Nishkama Capital LLC	1423N	Eric Salzman	156 Dorado Beach East Dorado PR 00646	01/01/22	170322	646-619-3629

COMMISSIONER OF FINANCIAL INSTITUTIONS INVESTMENT ADVISORS REGISTERED AND LOCATED IN PUERTO RICO

NAME	LIC. NUM.	CONTACT	ADDRESS	NEXT RENEWAL DATE	CRD. #	TELEPHONE AND FAX
NPA Asset Management, LLC	1015N	James S. Colleary	115 West Century Road Suite 360 Paramus NJ 07652	01/01/22	131534	201-476-0029
Orchard Wealth and Legacy Management LLC	1243	Jason M. Scheurer	151 San Francisco Street Suite 201 San Juan PR 00901	01/01/22	289104	609-937-8064
Oriental Financial Services LLC	0369N	Sean W. Miles, Sonia Marbarak	254 Muñoz Rivera Ave. 2nd Floor Oriental Group Center San Juan PR 00918	01/01/22	29753	787-474-1993 787-993-4288
Oriental Trust	1415N	Rosana Pacheco	Oriental Center, 10th Floor 254 Munoz Rivera San Juan PR 00918	01/01/22	312982	787-522-6962
Pariter Wealth Management Group LLC	0784	Eduardo Sotomayor	243 Carretera #2 Guaynabo PR 00966-1915	01/01/22	146622	787-781-2555
Parliament Capital Management, LLC	1050N	Wilnerys Alvarez Rivera	1511 Ave. Ponce de León Ciudadela - Torre 1000, Suite 6A San Juan PR 00909	01/01/22	194513	787-200-9004
Pension Partners, LLC	1389	Edward M. Dempsey	103 De Diego Ave Apt. 1602N San Juan PR 00911	01/01/22	125772	212-255-5000
Polaris Technologies LLC	1321N	Jonathan Lebox	100 Calle Del Muelle #2502 San Juan PR 00901	01/01/22	306805	551-444-8068
Popular Asset Management LLC	1396N	José Armando Chang	Popular Center North Building, 4th FL 209 Muñoz Rivera Ave. San Juan PR 00918	01/01/22	313653	787-754-4488
Popular Securities, LLC.	0377N	Marla Acosta	209 Avenue Ponce De Leon Popular Center Suite 1200 San Juan PR 00918-1035	01/01/22	8096	787-758-7400 787-766-4200
Resources Investment Advisors	1156N	Phillip J. Troyer	4860 College Blvd. Overland Park KS 66211	01/01/22	106766	913-338-5300
Santander Securities LLC	0318N	Lawrence Carter	Edificio Santander #207 Ave Ponce de León, Piso 4 San Juan PR 00917-1818	01/01/22	41791	787-759-5330
Select Investment Advisory Group Inc.	0829	Luis De Jesus	322 De Diego Ave. Suite 202 San Juan PR 00920	01/01/22	150129	787-969-3939
SG3 Management, LLC	1140N	Saagar Gupta	326 Dorado Beach East DORADO PR 00646	01/01/22	285449	617-413-6840

COMMISSIONER OF FINANCIAL INSTITUTIONS INVESTMENT ADVISORS REGISTERED AND LOCATED IN PUERTO RICO

NAME	LIC. NUM.	CONTACT	ADDRESS	NEXT RENEWAL DATE	CRD. #	TELEPHONE AND FAX
Stonecrest Advisors, Inc.	1214	Dave Jones	300 West 6th Street Suite 1520 Austin TX 78701	01/01/22	142095	866-342-1069 512-684-0001
Swan Global Management LLC	1032N	Brad Ackerman	20 Ridge Top Palmas del Mar Humacao PR 00791	01/01/22	173621	970-382-9055
TC Latin America Partners LLC	1329N	Saleemah Ahamed	151 San Francisco Street Suite 200, Old San Juan San Juan PR 00901-1607	01/01/22	307441	347-289-3262
Thomas Wright Asset Management, Inc.	1249N	William Stuart Wright	53 Calle Palmeras Suite 401 San Juan PR 00901-2408	01/01/22	105192	843-971-4265
Transamerica Financial Advisors, Inc.	0584N	Brad Mullikin	570 Carillon Parkway St. Petersburg FL 33716-1202	01/01/22	16164	727-248-3491 727-299-1800
Troluce Capital Advisors LLC	1392N	Jared Dubin	Vista Plaza, Calle C, Lots 81-82 Space A10, Suite 205 Dorado PR 00646	01/01/22	313120	617-306-1130
UBS Asset Managers of Puerto Rico	1448N	Luz Colón	250 Muñoz Rivera Ave. 10th Floor San Juan PR 00918	01/01/22	313696	787-773-3888
UBS Financial Services Inc.	1238N	Lisa Francomano	1200 Harbor Boulevard Weehawken NJ 07086	01/01/22	8174	201-352-3000 201-352-3676
Universal Financial Services Inc.	1418N	Alejandro M. Pascual Navarro	Metro Office Park Calle 1 Lote 10 Guaynabo PR 00968	01/01/22	131558	787-793-7202
Vauban Wealth Management	1319N	Mariela Torres	270 Munoz Rivera Avenue Suite 1110 San Juan PR 00918	01/01/22	305222	1-939-940-8529
Warrington Asset Management LLC	1193N	Gregory Fomin	200 Dorado Beach Drive Suite 3132 Dorado PR 00646	01/01/22	290065	214-230-2100
Xennial Planning LLC	1354	Lindsay Martinez	48 Ave Luis Munoz Rivera #2207 San Juan PR 00918	01/01/22	300780	760-205-3432
X-Square Capital	0952N	Ruben A Tapia Vargas	Popular Center Building 209 Muñoz Rivera, Suite 1111 Hato Rey PR 00918	01/01/22	167237	787-282-1621
GRAND TOTAL:		83				